

**FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN**

Annexure IV

As on financial year ended on March 31, 2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	L63090MH1983PLC031418
2.	Registration Date	24/11/1983
3.	Name of the Company	Mercator Limited
4.	Category/Sub-category of the Company	Company limited by shares/Indian Non-Government Company
5.	Address of the Registered office & contact details	83-87, 8 th Floor, Mittal Tower, B-wing, Nariman Point, Mumbai – 400 021 Tel Nos: 91-22-66373333 Fax Nos: 91-22-66373344 E-mail: secretarial@mercator.in / investors@mercator.in
6.	Whether listed company	Yes
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Link Intime India Private Limited C101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai – 400083 Tel No : +91 22 49186000 Fax: +91 22 49186060 E-mail id : rnt.helpdesk@linkintime.co.in Website : www.linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Sl. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the Company
1	Sea and coastal freight water transport	5012	55.75
2	Renting and operational leasing of water-transport equipment without operator	77306	44.25

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No	Name of Subsidiary company	Address of the Company	CIN / GIN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section	Remarks
1	Mercator Oil & Gas Limited	3rd Floor, Mittal Towers, B-Wing, Nariman Point, Mumbai – 400 021	U63033MH2005PLC154014	Subsidiary	100.00%	2(87)(ii)	
2	Mercator Petroleum Limited	3rd Floor, Mittal Towers, B-Wing, Nariman Point, Mumbai – 400 021	U11102MH2007PLC170562	Subsidiary	87.75%	2(87)(ii)	
3	Mercator Dredging Private Limited (Formerly Mercator FPSO Private Limited)	3rd Floor, Mittal Towers, B-Wing, Nariman Point, Mumbai – 400 021	U11100MH2011PTC223593	Subsidiary	100.00%	2(87)(ii)	
4	Oorja Resources India Private Limited	3rd Floor, Mittal Towers, B-Wing, Nariman Point, Mumbai – 400 021	U51109MH2009PTC195418	Subsidiary	100.00%	2(87)(ii)	
5	Mercator Oceantransport Limited	3rd Floor, Mittal Towers, B-Wing, Nariman Point, Mumbai – 400 021	U63090MH2018PLC304443	Subsidiary	100.00%	2(87)(ii)	
6	Mercator International Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200700869N	Subsidiary	100.00%	2(87)(ii)	
7	Oorja Holdings Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200712044H	Subsidiary	100.00%	2(87)(ii)	
8	Offshore Holdings Company Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200903321Z	Subsidiary	100.00%	2(87)(ii)	
9	Mercator Energy Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	201403140M	Subsidiary	75.00%	2(87)(ii)	
10	Brio Resources Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	201540425M	Subsidiary	100.00%	2(87)(ii)	Note # 3
11	Marvel Value International Limited	OMC Chambers, Wickhams Cay 1, Road Town, Tortola, British Virgin Island.	1894487	Subsidiary	100.00%	2(87)(ii)	
12	MCS Investment and Trading Limited	LOB 16, Suit # 309, Jebel Ali Free Zone, PO Box No 262794, Dubai, UAE	189884	Subsidiary	100.00%	2(87)(ii)	Note # 2
13	Mercator Offshore Assets Holding Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	201403178W	Subsidiary	75.00%	2(87)(ii)	
14	Mercator Projects Pte Ltd	8 Shenton Way, AXA Tower, #12-02, Singapore 068811	201417233K	Subsidiary	75.00%	2(87)(ii)	Note # 1

15	Panther Resources Pte Ltd	60 Paya Lebar Road, #11-06, Paya Lebar Square, Singapore 409051	201416148G	Subsidiary	100.00%	2(87)(ii)	
16	Oorja 1 Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200712154R	Subsidiary	100.00%	2(87)(ii)	
17	Oorja 2 Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200714713N	Subsidiary	100.00%	2(87)(ii)	
18	Oorja 3 Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200714714E	Subsidiary	100.00%	2(87)(ii)	Note # 3
19	Oorja (Batua) Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	201025814N	Subsidiary	100.00%	2(87)(ii)	
20	MCS Holdings Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200704423E	Subsidiary	100.00%	2(87)(ii)	
21	Oorja Mozambique Minas Limitada	Av. Guerra Popular, 1028, 2º Andar, Maputo, Mozambique	100027828	Subsidiary	100.00%	2(87)(ii)	
22	Mercator Offshore (P) Pte Ltd	60 Paya Lebar Road, #13-05D, Paya Lebar Square, Singapore 409051	200923384E	Subsidiary	76.25%	2(87)(ii)	
23	Mercator Okoro FPU Pte Ltd	8 Shenton Way, AXA Tower, #12-02, Singapore 068811	210403187Z	Subsidiary	75.00%	2(87)(ii)	Note # 1
24	Mercator Okwork FPU Pte Ltd	8 Shenton Way, AXA Tower, #12-02, Singapore 068811	210403182E	Subsidiary	75.00%	2(87)(ii)	Note # 1
25	PT Karya Putra Borneo	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	C-03412 HT.01.01.TH.2007	Subsidiary	45.00%	2(87)(ii)	
26	Broadtec Mozambique Minas Limitada	Av. Guerra Popular, 1028, 2º Andar, Maputo, Mozambique	100022230	Subsidiary	85.00%	2(87)(ii)	
27	PT Oorja Indo KGS	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	AHU-17598 AH.01.01.TH.2008	Subsidiary	100.00%	2(87)(ii)	
28	PT Oorja Indo Petangis Three	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	C-05514 HT.01.01.TH.2007	Subsidiary	100.00%	2(87)(ii)	
29	PT Oorja Indo Petangis Four	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	C-04214 HT.01.01.TH.2007	Subsidiary	100.00%	2(87)(ii)	
30	PT Indo Perkasa	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	C-00836 HT.01.01.TH.2003	Subsidiary	51.00%	2(87)(ii)	
31	PT Bema Gema Permata	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	C-17032 HT.01.01.TH.2005	Subsidiary	100.00%	2(87)(ii)	
32	PT Mincon Indo Resources	Menara Prima, 15th Floor, Unit A-B, JL. Dr Ade Anak Agung Gde Agung Kav 6.2, Kawasan Mega Kuningan, Jakarta Selatan 12950, Indonesia	AHU-20473 AH.01.01.TH.2008	Subsidiary	100.00%	2(87)(ii)	Note # 1

Notes

1	Liquidation order of the companies received during the year FY 2018-19
2	The Company has been de-registered in records of Jebel Ali Free Trade Zone dated 10.12.2018
3	Companies has been struck off in records of Accounting and Corporate Regulatory Authority Singapore dated 06.04.2019

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

(i) Category-wise Share Holding

Sr No	Category of Shareholders	Shareholding at the beginning of the year - 2018				Shareholding at the end of the year - 2019				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(A)	Shareholding of Promoter and Promoter Group									
[1]	Indian									
(a)	Individuals / Hindu Undivided Family	74174100	0	74174100	24.5237	71016100	0	71016100	23.4796	-1.0441
(b)	Central Government / State Government(s)	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Financial Institutions / Banks	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Any Other (Specify)									
	Bodies Corporate	18406250	0	18406250	6.0855	18406250	0	18406250	6.0855	0.0000
	Sub Total (A)(1)	92580350	0	92580350	30.6092	89422350	0	89422350	29.5651	-1.0441
[2]	Foreign									
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	361250	0	361250	0.1194	361250	0	361250	0.1194	0.0000
(b)	Government	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Institutions	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Foreign Portfolio Investor	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Any Other (Specify)									
	Sub Total (A)(2)	361250	0	361250	0.1194	361250	0	361250	0.1194	0.0000
	Total Shareholding of Promoter and Promoter Group(A)=(A)(1)+(A)(2)	92941600	0	92941600	30.7286	89783600	0	89783600	29.6845	-1.0441
(B)	Public Shareholding									
[1]	Institutions									
(a)	Mutual Funds / UTI	0	0	0	0.0000	0	0	0	0.0000	0.0000
(b)	Venture Capital Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Alternate Investment Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Foreign Venture Capital Investors	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Foreign Portfolio Investor	46642065	0	46642065	15.4209	41288202	0	41288202	13.6508	-1.7701
(f)	Financial Institutions / Banks	5266217	0	5266217	1.7411	62388	0	62388	0.0206	-1.7205
(g)	Insurance Companies	0	0	0	0.0000	0	0	0	0.0000	0.0000
(h)	Provident Funds/ Pension Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(i)	Any Other (Specify)									
	UTI	0	75000	75000	0.0248	0	75000	75000	0.0248	0.0000
	Sub Total (B)(1)	51908282	75000	51983282	17.1869	41350590	75000	41425590	13.6963	-3.4906
[2]	Central Government/ State Government(s)/ President of India									
	Central Government / State Government(s)	1000	0	1000	0.0000	2500	0	2500	0.0008	-0.2657
	Sub Total (B)(2)	1000	0	1000	0.0000	2500	0	2500	0.0008	-0.2657
[3]	Non-Institutions									
(a)	Individuals									
(i)	Individual shareholders holding nominal share capital upto Rs. 1 lakh.	67381326	1489529	68870855	22.7703	78552990	1409279	79962269	26.4374	3.6671

(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	24956777	0	24956777	8.2513	26909592	0	26909592	8.8969	0.6456
(b)	NBFCs registered with RBI	1105859	0	1105859	0.3656	179792	0	179792	0.0594	-0.3062
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Any Other (Specify)									
	IEPF	806059	0	806059	0.2665	803559	0	803559	0.2657	0.2657
	Foreign Nationals	0	0	0	0.0000	154	0	154	0.0001	0.0001
	Hindu Undivided Family	5115313	0	5115313	1.6912	6191371	0	6191371	2.0470	0.3558
	Non Resident Indians (Non Repat)	850912	0	850912	0.2813	1124932	0	1124932	0.3719	0.0906
	Non Resident Indians (Repat)	2419936	53500	2473436	0.8178	2650185	53500	2703685	0.8939	0.0761
	Office Bearers	1950	0	1950	0.0006	7950	0	7950	0.0026	0.0020
	Foreign Portfolio Investor (Individual)	4000	0	4000	0.0013	0	0	0	0.0000	-0.0013
	Clearing Member	6778343	0	6778343	2.2411	4891131	0	4891131	1.6171	-0.6240
	Bodies Corporate	46568949	2000	46570949	15.3974	48471210	2000	48473210	16.0264	0.6290
	Sub Total (B)(3)	155183365	1545029	156728394	51.8180	169782866	1464779	171247645	56.6184	4.8004
	Total Public Shareholding(B)=(B)(1)+(B)(2)+(B)(3)	207897706	1620029	209517735	69.2714	211135956	1539779	212675735	70.3155	1.0441
	Total (A)+(B)	300839306	1620029	302459335	100.0000	300919556	1539779	302459335	100.0000	0.0000
(C)	Non Promoter - Non Public									
[1]	Custodian/DR Holder	0	0	0	0.0000	0	0	0	0.0000	0.0000
[2]	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0.0000	0	0	0	0.0000	0.0000
	Total (A)+(B)+(C)	300839306	1620029	302459335	100.0000	300919556	1539779	302459335	100.0000	

(ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year (01/04/2018)			Shareholding at the end of the year (31/03/2019)			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. H. K. Mittal	47066700	15.56	52.54	43908700	14.52	85.63	(1.04)
2	Mrs. Archana Mittal	27027400	8.94	67.68	27027400	8.94	72.26	0
3	AHM Investments Pvt Ltd	18406250	6.08	52.16	18406250	6.08	90.63	0
4	Mr. Shalabh Mittal	361250	0.12	'0.00	361250	0.12	'0.00	0
5	Mr. Adip Mittal	80000	0.03	'0.00	80000	0.03	'0.00	0

(* Change in shareholding on account of purchase and invocation of shares during the year under review)

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl No.	Name	Shareholding		Date	Increase/ Decrease in shareholding	Reason	Cumulative Shareholding during the year (01-04-18 to 31-03-19)	
		No. of Shares at the beginning (01-04-18) / end of the year (31-03-19)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Mr. H K Mittal	47,066,700	15.56					
				26/04/18	300,000	Purchase	47,366,700	15.66
				08/06/18	20,000	Purchase	47,386,700	15.67
				15/06/18	22,000	Purchase	47,408,700	15.67
				29/06/18	100,000	Purchase	47,508,700	15.71

SI No.	Name	Shareholding		Date	Increase/ Decrease in shareholding	Reason	Cumulative Shareholding during the year (01-04-18 to 31-03-19)	
		No. of Shares at the beginning (01-04-18) / end of the year (31-03-19)	% of total shares of the Company				No. of Shares	% of total shares of the Company
				28/09/18	10,00,000	Purchase	48,508,700	16.04
				05/10/18	100,000	Purchase	48,608,700	16.07
				10/10/18	4,375,000	Invocation	44,233,700	14.62
				16/01/19	325,000	Re-transfer	44,558,700	14.73
				19/03/19	150,000	Invocation	44,408,700	14.68
				27/03/19	500,000	Invocation	43,908,700	14.52
				31/03/19			43,908,700	14.52

(change in % on account of purchase and invocation)

(iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs):

SI No.	Name	Shareholding		Date	Increase/ Decrease in shareholding	Reason	Cumulative Shareholding during the year (01-04-18 to 31-03-19)	
		No. of Shares at the beginning (01-04-18) / end of the year (31-03-19)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Government of Singapore	17336959	5.73	01/04/2018				
		17336959	5.73	31/03/2019			17336959	5.73
2	KITARA PIIN 1101	8159363	2.70	01/04/2018				
		8159363	2.70	31/03/2019			8159363	3.02
4	Monetary Authority of Singapore	6172475	2.04	01/04/2018				
		6172475	2.04	31/03/2019			6172475	2.04
5	Ivory Consultants Pvt. Ltd.	0	0.00	01/04/2018				
				04/05/2018	650000	Transfer	650000	0.2149
				11/05/2018	(650000)	Transfer	0	0.0000
				16/11/2018	5011347	Transfer	5011347	1.6569
				23/11/2018	(891347)	Transfer	4120000	1.3622
				07/12/2018	1030000	Transfer	5150000	1.7027
				21/12/2018	520593	Transfer	5670593	1.8748
				28/12/2018	353824	Transfer	6024417	1.9918
				31/12/2018	(22777)	Transfer	6001640	1.9843
				04/01/2019	(25000)	Transfer	5976640	1.9760
		25/01/2019	(276640)	Transfer	5700000	1.8846		
		5700000	1.8846	31/03/2019			5700000	1.8846
6	Resonance Opportunities Fund	5456325	1.8040	01/04/2018				
				01/02/2019	(1250000)	Transfer	4206325	1.3907
		4206325	1.3907	31/03/2019			4206325	1.3907
8	Nomura Singapore Limited	2949882	0.9753	01/04/2018				
				09/11/2018	453891	Transfer	3403773	1.1254
				23/11/2018	140000	Transfer	3543773	1.1717
				30/11/2018	107655	Transfer	3651428	1.2072
		3651428	1.2072	31/03/2019			3651428	1.2072
10	Sharekhan BNP Paribas Financial Services Pvt Ltd	2692440	0.8902	01/04/2018				
				06/04/2018	(4738)	Transfer	2687702	0.8886
				13/04/2018	1000	Transfer	2688702	0.8889
				20/04/2018	1500	Transfer	2690202	0.8894
				27/04/2018	(10000)	Transfer	2680202	0.8861
				04/05/2018	(21500)	Transfer	2658702	0.8790

				11/05/2018	5000	Transfer	2663702	0.8807
				18/05/2018	11827	Transfer	2675529	0.8846
				25/05/2018	870	Transfer	2676399	0.8849
				01/06/2018	130	Transfer	2676529	0.8849
				08/06/2018	300	Transfer	2676829	0.8850
				22/06/2018	(5200)	Transfer	2671629	0.8833
				30/06/2018	5003	Transfer	2676632	0.8850
				06/07/2018	60000	Transfer	2736632	0.9048
				13/07/2018	45830	Transfer	2782462	0.9199
				20/07/2018	(25000)	Transfer	2757462	0.9117
				27/07/2018	6718	Transfer	2764180	0.9139
				10/08/2018	205021	Transfer	2969201	0.9817
				17/08/2018	8000	Transfer	2977201	0.9843
				31/08/2018	5016	Transfer	2982217	0.9860
				07/09/2018	34089	Transfer	3016306	0.9973
				21/09/2018	225000	Transfer	3241306	1.0717
				29/09/2018	(400)	Transfer	3240906	1.0715
				12/10/2018	(83025)	Transfer	3157881	1.0441
				19/10/2018	43250	Transfer	3201131	1.0584
				26/10/2018	(69000)	Transfer	3132131	1.0356
				02/11/2018	(22425)	Transfer	3109706	1.0281
				16/11/2018	120020	Transfer	3229726	1.0678
				23/11/2018	(15000)	Transfer	3214726	1.0629
				21/12/2018	(2150)	Transfer	3212576	1.0622
				01/02/2019	(124873)	Transfer	3087703	1.0209
				15/02/2019	(750)	Transfer	3086953	1.0206
				01/03/2019	(500)	Transfer	3086453	1.0205
				29/03/2019	(50388)	Transfer	3036065	1.0038
		3036065	1.0038	31/03/2019			3036065	1.0038
6	Mangal Bhanshali	0	0.00	01/04/2018				
				29/03/2019	2946342	Transfer	2946342	0.9741
		2946342	0.9741	31/03/2019			2946342	0.9741
6	Meenu Bhanshali	700218	0.2315	01/04/2018				
				29/03/2019	2000000	Transfer	2700218	0.8928
		2700218	0.8928	31/03/2019			2700218	0.8928
11	Mentor Capital Limited	1105859	0.3656	01/04/2018				
				06/04/2018	(50000)	Transfer	1055859	0.3491
				18/05/2018	76065	Transfer	1131924	0.3742
				06/07/2018	132170	Transfer	1264094	0.4179
				20/07/2018	210000	Transfer	1474094	0.4874
				27/07/2018	25000	Transfer	1499094	0.4956
				18/01/2019	551200	Transfer	2050294	0.6779
				29/03/2019	158594	Transfer	2208888	0.7303
		2208888	0.7303	31/03/2019			2208888	0.7303
12	Axis Bank Limited	4397556	1.4539	01/04/2018				
				06/04/2018	215572	Transfer	4613128	1.5252
				13/04/2018	16691	Transfer	4629819	1.5307
				20 Apr 2018	49920	Transfer	4679739	1.5472
				27 Apr 2018	(177015)	Transfer	4502724	1.4887
				04 May 2018	(74720)	Transfer	4428004	1.4640
				11 May 2018	(1205730)	Transfer	3222274	1.0654
				18 May 2018	(1014461)	Transfer	2207813	0.7300
				25 May 2018	(1100924)	Transfer	1106889	0.3660
				01 Jun 2018	(877193)	Transfer	229696	0.0759
				08 Jun 2018	4417	Transfer	234113	0.0774
				15 Jun 2018	(1086)	Transfer	233027	0.0770
				22 Jun 2018	(4178)	Transfer	228849	0.0757
				30 Jun 2018	(32809)	Transfer	196040	0.0648
				06 Jul 2018	201233	Transfer	397273	0.1313
				13 Jul 2018	5712	Transfer	402985	0.1332
				20 Jul 2018	7175	Transfer	410160	0.1356
				27 Jul 2018	(178660)	Transfer	231500	0.0765
				03 Aug 2018	(9528)	Transfer	221972	0.0734
				10 Aug 2018	74999	Transfer	296971	0.0982
				17 Aug 2018	42850	Transfer	339821	0.1124
				24 Aug 2018	96000	Transfer	435821	0.1441
				31 Aug 2018	(4536)	Transfer	431285	0.1426

(v) Shareholding of Directors and Key Managerial Personnel:

Sl No.	Name	Shareholding		Date	Increase/Decrease in shareholding	Reason	Cumulative Shareholding during the year (01-04-18 to 31-03-19)	
		No. of Shares at the beginning (01-04-18) / end of the year (31-03-19)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Mr. H. K. Mittal	47,066,700	15.56	01/04/18			47,066,700	15.56
				26/04/18	300,000	Purchase	47,366,700	15.66
				08/06/18	20,000	Purchase	47,386,700	15.67
				15/06/18	22,000	Purchase	47,408,700	15.67
				29/06/18	100,000	Purchase	47,508,700	15.71
				28/09/18	10,00,000	Purchase	48,508,700	16.04
				05/10/18	100,000	Purchase	48,608,700	16.07
				10/10/18	4,375,000	Invocation	44,233,700	14.62
				16/01/19	325,000	Re-transfer	44,558,700	14.73
				19/03/19	150,000	Invocation	44,408,700	14.68
		27/03/19	500,000	Invocation	43,908,700	14.52		
		43,908,700	14.52	31/03/19			43,908,700	14.52
2	Mr. Shalabh Mittal	361,250	0.12	01/04/18				
		361,250	0.12	31/03/19			361,250	0.12
3	Mrs. Archana Mittal	2,70,27,400	8.94	01/04/18				
		2,70,27,400	8.94	31/03/19			2,70,27,400	8.94
4	Mr. Gunendar Kapur*	0	0	01/04/18	0	NA		
		0	0	31/03/19			0	0
5	Mr. Anil Khanna	336,247	0.11	01/04/18	0	NA		
		336,247	0.11	31/03/19			336,247	0.11
6	Mr. M. M. Agrawal	0	0	01/04/18	0	NA		
		0	0	31/03/19			0	0
7	Mrs. Ameeta Trehan*	0	0	01/04/18	0	NA		
		0	0	31/03/19			0	0
8	Mr. Rajendra Kothari	0	0	01/04/18	0	NA		
		0	0	31/03/19			0	0
9	Ms. Sangeetha Pednekar *	0	0	01/04/18	0	NA		
		0	0	31/03/19	0			

* Note : Mr Gunendar Kapur upto 28.05.2018

Mrs Ameeta Trehan appointed w.e.f 01.07.2019

Ms. Sangeetha Pednekar w.e.f 07.08.2018

Paritosh Kakkad.

Chetan Desai.

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Rs. In Crores)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	853.38	123.50	-	976.88
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	4.69	-	-	4.69
Total (i+ii+iii)	858.07	123.50	-	981.57
Change in Indebtedness during the financial year				
* Addition	392.27	14.14	-	406.41
* Reduction	(163.57)	-	-	(163.57)
Net Change	228.69	14.14	-	242.83

Indebtedness at the end of the financial year				
i) Principal Amount	1,064.19	137.64	-	1,201.83
ii) Interest due but not paid	13.00	-	-	13.00
iii) Interest accrued but not due	9.57	-	-	9.57
Total (i+ii+iii)	1,086.76	137.64	-	1,224.40

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Rs. In Crore)

SI No.	Particulars of Remuneration	Name of MD/WTD/ Manager	
		Mr. H. K. Mittal	Total Amount
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0.69	0.69
	(b) Value of perquisites u/s 17(2) Income-tax Act,	0.03	0.03
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
5	Others, please specify	-	-
	Total (A)	0.72	0.72
	Ceiling as per the Act	Rs.120 lakhs plus 0.01% of the effective capital in excess of Rs. 250 crores as per Schedule V of the Act (the said limit is double if special resolution is passed)	

B. Remuneration to other directors

(Rs. In Crore)

SI No.	Particulars of Remuneration	Name of Directors							Total Amount
		Mr. M. M. Agrawal	Mr. Anil Khanna	Mr. Gunendar Kapur (upto 28.05.2018) *	Mr. Paritosh Kakkad (from 27.04.2018 - 27.09.2018)	Mrs. Ameeta Trehan (w.e.f. 01.07.2019) *	Mr. Chetan Desai (w.e.f. 27.04.2018)	Mrs. Archana Mittal	
1	Independent Directors								
	Fee for attending board committee meetings	0.06	0.06	0	0.02	0.00	0.05	-	1.29
	Commission	-	-	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-	-	-
	Total (1)	0.06	0.06	0	0.02	0.00	0.05	-	1.29
2	Other Non-Executive Directors								
	Fee for attending board committee meetings	-	-	-	-	-	-	0.04	0.04
	Commission	-	-	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-	-	-
	Total (2)	-	-	-	-	-	-	0.04	0.04
	Total (B)=(1+2)	0.06	0.06	0	0.02	0.00	0.05	0.04	1.33
	Total Managerial Remuneration	NIL							
	Overall Ceiling as per the Act	The Company has paid only sitting fees to Non-Executive Directors which is below the ceiling of Rs. 1,00,000/- per meeting as prescribed under the Companies Act, 2013.							

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

(Rs. In Crores)

Sl No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO Mr. Shalabh Mittal	CFO Mr. Rajendra Kothari	Company Secretary Ms. Sangeetha Pednekar	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0.98	0.62	0.07	1.56
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0.55		-	0.55
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-		-	-
2	Stock Option	-		-	-
3	Sweat Equity	-		-	-
4	Commission	-			
	- as percentage of profit	-		-	-
	others, specify...	-		-	-
5	Others, please specify	-		-	-
	Total	0.98	0.62	0.07	2.11

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			NIL		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					